Communities
and Local Government

Status Consultation for the Building Regulations Review

Final Report
January 2007

Sustainable Buildings Division

Contents

Sustainable Buildings Division

Abbreviations

1. PwC – PricewaterhouseCoopers
2. CLG – Communities and Local Government
3. SBD – Sustainable Buildings Division
4. CoE – Centre of Excellence
5. BRR: Building Regulations Review
6. PPM – Programme and Project Management
7. RAG – Red, Amber, Green: traffic light status reporting system
8. BAU – Business as Usual

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Purpose

Communities and Local Government

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Purpose of this Report

Communities and Local Government

- The purpose of this final report is to reflect on the work from PwC's brief secondment to the Sustainable Buildings Division (SBD) of Communities and Local Government (CLG). This report is an extension of our final presentation to Mark Coulshed and Andrew Wells, December 20th, 2006.

- We were asked to undertake a review of the Building Regulations Review and consult with the SBD on the status of its current programme of works. Specifically, we were requested to:
  - develop a definition and set objectives for the Review;
  - ascertain which projects were considered by staff to be in-scope;
  - prepare summaries and high-level briefs for each of those projects; and
  - develop a methodology against which projects considered in-scope of the Review can be assessed and, where appropriate, make recommendations to streamline projects

- Throughout our secondment we were conscious of a shift in ways of working in CLG – a movement to embed Programme and Project Management (PPM). We have therefore included comment on PPM in addition to presentation of requested works and assessments.

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To know what is happening in the projects and to understand if there is a need to worry

To be able to compare like with like when reviewing 'projects' within scope of the Review

A process that enables understanding of connections and interdependencies amongst major programmes and projects

Information at all levels to prevent initiatives from falling along the wayside

To collectively understand what's going on and where the Building Regulations Review is going in order to maintain the momentum of improvement

The ability to communicate 'upwards' (to Ministers) where the Building Regulations Review is going

The ability to communicate 'upwards' information for decision-making

To know where to go to find up to date programme and project information

To identify the linkages between projects and staff, and projects, staff and Review objectives

In Sum:

To be informed, possible through creation of a Governance Framework that will be provided with targeted information to steer analysis of project outcomes and communicate to Ministers decision-making material without either party being involved in too much detail, or not receiving the right kind of information

Why a Building Regulations Review?

Communities and Local Government

- Current building regulations system is technically and procedurally **complex**
  - Stakeholders do not know what is required of them and how to comply
- Updates are frequent and **piecemeal**
  - E.g. little connection of one update to another
  - Difficult for stakeholders to **keep up** with changes
- Both compliance and enforcement are **difficult to achieve**

- Government's Response to the Kate **Barker Review** of Housing Supply, December 2005
  - 7.17 "...[The Government will] develop a revised process for updating Building Regulations, which will ensure that it can continue to make improvements to statutory standards in a simpler, more transparent and less piecemeal manner. This will make it easier for the industry to adapt to changes and should improve compliance."

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Why a Status Consultation?

- Late **Summer** 2006 = **loss** of steer for the Building Regulations Review (BRR)
- Interim head of the Sustainable Buildings Division (SBD) commissions consultation to ascertain the current status of works done for the BRR
- Status review to address:
  - **What** is the **BRR**?
  - What **projects** are **included** in the BRR?
  - **How** are the projects **doing**?
  - Recommendations to assist targeted delivery of projects for the BRR
- In preparation for:
  - Questions arising from **Mid-December** announcements
  - **New** Head of SBD
  - **Final deliverable** date for BRR prior to Summer Recess, 2007

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Consultation Approach

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- **Review**
  - Consultation with SBD senior management and staff
    - E.g. Mark Coulshed, Guy Bampton, Ian Drummond, Phil Philippou, Christine Ogden, David Petherick, Florence Otim, Les Fothergill, Carol Whale, and Tony Lord
  - Documentation Review – *referenced in Annex A.*
- **Reflect**
  - Mission critical status and findings for the BRR
  - Senior management and staff needs - opportunities for formal approaches to Programme and Project Management (PPM) in SBD
    - E.g. consultation with Mark Coulshed, Guy Bampton, Mary Onyejiaku, and Jonathan Hart (CLG PPM Centre of Excellence [CoE])
- **Report**
  - BRR: objectives, methodology to assign projects to the Review
  - Project summaries and high-level briefs
- **Recommend**
  - Prioritisation and streamlining projects included in the BRR
  - Dedicated PPM approach to oversee projects and deliver BRR

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Consultation Constraints

- Availability of staff to consult
  - Busy period for staff – competing priorities
    - **Two** projects listed under the programme of works for the BRR consequently **not included** in this status review

- Delayed access to SBD/CLG systems
  - (intranet, internet, emails, word processing, printers, etc)

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BRR: creation of a definition and objectives

- A **new definition and objectives** for the BRR were established with the Interim Head of the SBD.
  - In sum, the objectives of the BRR **address**:
    - Administrative burden reductions
    - Range of matters covered is appropriate
    - Amount of technical detail is appropriate
    - Current (14-Part) structure fit-for-purpose
    - Communication channels well-targeted to inform
    - Well-calibrated for use by all
    - Regulatory framework an effective basis for compliance and enforcement

- For a project to be included in the BRR, it must meet **one** of these objectives.
  - The following table illustrates some projects meet no objectives, while other projects attempt to address too many objectives

- *The complete BRR definition and objectives is referenced in Annex B*

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BRR: identifying objectives addressed

		Admin. burdens reduction	Structure fit-for-purpose	Coverage fit-for-purpose	Amount of technical detail fit-for-purpose	Channels for dissemination well-targeted to all users	Regulations well-targeted for user application	Developing a framework that can be complied & enforced
1	'A Better Regulation Approach'	N	Y	N	N	N	N	N
2	'Achieving Building Standards'	N	N	N	N	N	Y	Y
3	'Ministerial Round Table'	N	N	N	N	N	N	N
4	'Review of Health & Safety Risks'	N	N	Y	N	N	N	N
5	'Options for Change'	N	Y	Y	N	N	N	Y
6	'Informal Consolidation'	N	N	N	N	N	N	N
7	'Impact of Societal Change	N	N	Y	Y	N	N	N
8	'Stakeholder Engagement'	N	Y	N	N	Y	Y	Y
9	'Appointed Persons'	N	N	N	N	N	N	Y
10	'Compliance & Enforcement'	Y	Y	Y	Y	N	Y	Y
11	'Future of Regulations, sustainability'	N	N	N	N	N	N	Y*
12	'Review of Economics & Statistics'	N	N	N	N	N	N	N
13	'Alternative Systems & Standards'	N	N	N	N	N	N	Y*
14	'Impact of Regulations'	N	N	N	N	N	Y	Y
15	'Administrative Burdens'	Y	N	N	N	N	N	N
16	'Delivering Building Regulations through the Planning Portal'	Y	N	N	N	Y	N	N

* The project manager was unavailable for consultation on materials relating to this project; this interpretation is therefore not substantiated by what may be considered an optimal evidence base

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BRR: creation of project inclusion criteria

- For a project to be included in the programme of works for the BRR, it **must**:
  - Meet a minimum **one** of the BRR objectives (outlined on the previous slides);
  - In addition, each project **must**:
    - have only one owner responsible for its development and delivery of the project;
    - be viable to be delivered by a date to be determined prior to Summer Recess, 2007;
    - not overlap with the objectives of other projects; and
    - be suitably informed by analysis, evidence and stakeholder input, as appropriate.

- *The methodology to assign projects to the BRR is referenced in Annex C*

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Projects: Updated project synopses

– Update of original project status list (*referenced in Annex D*) and creation of project summaries (*referenced in Annex E*):

Work completed
1. 'The Building Regulations system and the Planning system – A Better Regulation Approach', Christine Ogden
2. 'Delivering User Friendly Building Regulations Web Content Through the Planning Portal', Florence Otim

Work moving forward
3. 'Achieving Building Standards, scoping study', Florence Otim
4. 'Building Regulation, review of health and safety risk drivers for policy', Les Fothergill
5. 'Review of Building Regulations and Options for Change', David Petherick
6. 'Administrative Burden of the Building Regulations', Guy Bampton
7. 'Informal Consolidation of Building Regulations', Ian Drummond
8. 'The Impact of Societal Change on Building Regulations', David Petherick

Pending work
9. 'Stakeholder Engagement and Communications', Florence Otim
10. 'Introduction of Appointed Persons', Guy Bampton
11. 'Review and Adjustment of Compliance and Enforcement', Guy Bampton
12. 'Future of Building Regulations, sustainability', Christine Ogden
13. 'Review of Economics and Statistics of England and Wales Building Standards', Guy Bampton
14. 'Review of Alternative Systems and Standards', Christine Ogden
15. 'Impact of Building Regulations', Ian Drummond
16. 'Ministerial Round Table', Guy Bampton

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Projects: creation of high-level briefs

- High-level briefs include:

  - **Original** objectives, anticipated deliverables, etc. for each project
  - **Assessment** of each project, including:
    - Current status, e.g. 'moving forward', 'completed'
    - Re-classification of the 'project', e.g. as a standalone project or a follow-up workstream
    - Analysis of each project against BRR objectives
    - Overlap with other projects
    - Mission critical RAG rating
    - Recommendations for streamlining

- *Completed high-level project briefs are referenced in Annex F*

- Recommendations from these assessments are summarised in **project prioritisation tables** in the 'Recommendations' section of this report

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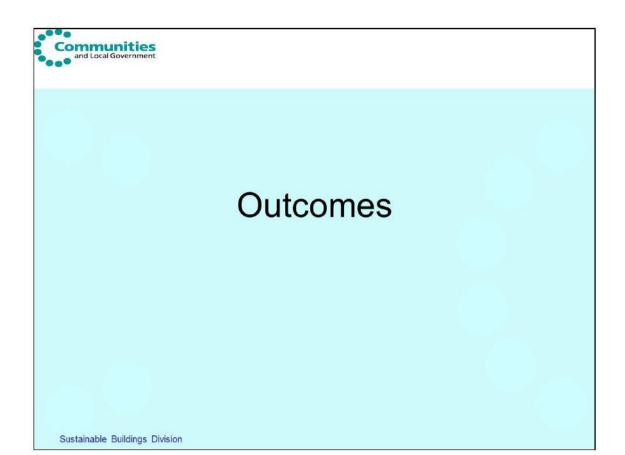
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BRR: Main outcome

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A/G

Building Regulations Review - mission critical RAG rating:

Amber/Green

- requires **substantial action**
- **some** SBD works related to the programme have been **good**

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BRR: Main outcome (cont.)

A/G Our assessment that **substantial action** needs to be taken to improve the programme is a consequence of:

- Some people in the SBD have not heard of the BRR and do not know what it consists of. This is largely because:
  - The BRR is internally known as the 'Futures Programme'
  - Loss of senior steer in late **Summer** 2006:
    - 'Clog' in communication channels e.g. the vision of the BRR had not been clearly shared between senior management and staff
    - No formal **definition** and **objectives** for the BRR were set out
    - Lack of **methodology for assigning** projects to the BRR
      » Scope not clearly identified and / or were significant changes / flexing / creep
- There is no governance framework for the BRR and **limited minimum programme controls** set out to deliver the programme
  - There is a **cultural aversion** to **PPM** within the SBD; **BAU** mentality and some resistance to new ways of working
  - **Risk** review and mitigation response processes are **sporadic**
- Approaches to project management are inconsistent and subject to the project manager's discretion e.g. creating a project inception document
- Project benefits are not clearly identified and / or do not align to the programme strategy and / or are necessarily achievable

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BRR: Main outcome (cont.)

| A/G | We also assessed that **some** actions related to the programme of works for the BRR are **good:** |

– Training of PPM and use of tools and formats have been disseminated by CLG's CoE with some take-up in SBD
  - Has resulted in some project managers adopting project management tools such as project inception documents (as noted on the previous slide, this is at the project manager's discretion)

– Staff are dedicated to creation and delivery of the best possible building regulations

– Little-to-no significant variance between maximum project budget allocations and actual project spend

– All projects **already initiated** should complete **before** Summer Recess, 2007

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Projects outcome: assessment of the 16

- Initial consultation produced a list of **16** projects included in the BRR.
  - Lack of consistency for **naming** and referencing projects
    - Most projects have more than 1 title and many project titles are vague and **indeterminate**
  - Lack of **logging** interdependencies, risks and monitoring projects
  - Limited **formal** change control processes
    - Change control does occur, but not in a standard way common / widely understood across SBD
  - Limited end project reporting of **lessons** learned (e.g. risks encountered) for others to learn from the experience
  - Limited / irregular iterative activity **planning** for all projects

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Project prioritisation

- The following table presents both a **mission critical** traffic light and attention **priority ranking** for each of the 16 projects, as well as 3 additional projects recommended to be added to the BRR (also discussed in the 'Recommendations' section of this report)

- The mission critical traffic light system is the same used amongst the CLG programme executive

- The attention priority ranking recommends which projects require immediate and short-term attention (also discussed in the 'Recommendations' section of this report)

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Project Prioritisation table

#	Project	Status	Comment
1	The Building Regulations system and the Planning system – A Better Regulation Approach	G	All deliverables completed and final report **submitted** October, 2006.
		P3	Uncertain **how report may add further value to the BRR** in any systematic way.
2	Achieving Building Standards, scoping study	G	**Draft final report submitted** and outcomes being analysed. This is a **primary** project and of **significant value** to the BRR.
		P1	Analysis and follow-up should be made **high priority** i.e. recommendations for **quick wins in the 3 month blue print**. However, need for challenge to report e.g. recommendations for admin. burdens reductions **duplicate** a completed BRR project.
3	Ministerial Round Table	N/A	This is an **internal activity**, and not a project
		P2	**Validates the BRR** and is an important steer. Should be made a high-priority to organise closer to its date.
4	Building Regulation, review of health and safety risk drivers for policy	G	**Draft** final report to be submitted **January 2007**.
		P3	**Contributes value** to the BRR. Upon submission of the final report, **systematic implementation** of risk can take place. No anticipated delays or follow-up workstreams; does **not require critical attention.**
5	Review of Building Regulations and Options for Change	G	**Draft** final report **submitted**. A primary BRR project
		P1	Some scope creep necessitates a **refinement of the draft report**. Recommendations will require **high-priority attention.** Analysis and governance steer may be important to follow-up activities
6	Informal Consolidation of Building Regulations'	N/A	This is an **internal activity**, and not a project
		P2	**Benefits SBD**; however, does not directly meet any BRR objectives.
7	The Impact of Societal Change on Building Regulations	A/G	Meets a BRR objective but not in a direct way
		P3	**Governance steer** should direct how value of this research will be applied to the final BRR recommendations.
8	Stakeholder Engagement and Communications	A/G	**Not moving forward**; pending approval. Present **objectives duplicate other projects.** May consider re-stating objectives to focus on stakeholder engagement through communication channels only.
		P2	Should be a **follow-up workstream to #16** (following slide). Present **objectives duplicate others projects**; need to restate objectives to focus on stakeholder engagement through communication channels.
9	Introduction of Appointed Persons	A/G	This project was in progress but was paused. It is awaiting the outcomes of other BRR projects e.g. #2 (above) includes this as an option for improvement. However, it is **unlikely to complete prior** to Summer Recess 2007.
		P2	This project may benefit the programme if it **continued to pause**, and await outcomes from other BRR works i.e. learn lessons from competent persons scheme.
10	Review and Adjustment of Compliance and Enforcement	R	Objectives are duplicated in other projects. Timeframe would **extend beyond** Summer Recess 2007.
		P3	Would **not add any value to the current programme** of works for the BRR. It is more of a vision statement for the programme. Its objectives and methods of approach should be **amalgamated** with those of other BRR projects.

Project Prioritisation table (cont.)

11	Future of Building Regulations, sustainability*	R	This project has **not yet been defined**. Timeframe may **extend beyond Summer Recess 2007**.
		P3	Consider removing from remit of BRR as it does **not** meet BRR objectives directly and does **not add significant value** to the BRR evidence base.
12	Review of Economics and Statistics of England and Wales Building Standards	A/R	This project has **not yet been defined**. Timeframe may **extend beyond Summer Recess 2007**.
		P3	Does **not meet any BRR objectives**; however is an important project and **meets an option** for SBD improvement made in the draft report of project #2 (previous slide).
13	Review of Alternative Systems and Standards*	A/R	This project has yet **to be defined**.
		P3	Consider removing from remit of BRR as it may be considered **not** to meet BRR **objectives directly**. May duplicate findings in other BRR works, i.e. #5 (previous slide).
14	Impact of Building Regulations	A/R	Meets BRR objectives. Timeframe **would extend beyond Summer Recess 2007**.
		P3	This project **could add value** to the BRR if objectives were restated to tighten timeframe. **Meets an option** for SBD improvement made in the draft report of **project #2** (previous slide).
15	Administrative Burden of the Building Regulations	G	**Final report submitted**.
		P2	**Realisation of benefits** depends on execution of **Improving Electronic Communications** (not currently in-scope of BRR, see below).
16	Delivering User Friendly Building Regulations Web Content Through the Planning Portal	G	**Completed**. Adds value to the BRR i.e. developed capability for e-signatures to contribute to Administrative Burdens Reductions.
		P3	**Maximisation of project benefits** depends on the 'Improving Electronic Communications' (not currently in-scope of BRR, see below).
	Improving Electronic Communications	N/A - not currently part of BRR	**Moving forward**. Amending legislation to enable use of electronic transactions for some BR applications and notices.
		P1	Will **enable the capacity built into** project #16 (above) and that project's success. **Critical to realising further Administrative Burdens reductions**.
	Competent Persons Scheme	N/A - not currently part of BRR	Moving forward, but **less quickly** than desired. Feeds into/affected by regulatory changes. Movement towards devolved responsibility and supporting market incentives. Self-certification an option referred to in project #2 and #5 (previous slide)
		P1	Consider making it a **higher priority**. May need **more in-house** resources to support
	Building Control Performance Standards	N/A - not currently part of BRR	**Completed**. Sets standards and performance indicators to assist building control bodies to assess their own service quality. However, **data not centrally collected**.
		P2	These project outputs **complement BRR objectives**.

* The project manager was unavailable for consultation on materials relating to this project; this interpretation is therefore not substantiated by what may be considered an optimal evidence base

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Project Prioritisation: traffic lights

G	• Building Regulations and the Planning system –Better Regulation Approach • Achieving Building Standards, scoping study • Building Regulation, review of health and safety risk drivers for policy • Review of Building Regulations and Options for Change • Administrative Burden of the Building Regulations • User Friendly Building Regulations Web Content Through the Planning Portal
A/G	• The Impact of Societal Change on Building Regulations • Stakeholder Engagement and Communications • Introduction of Appointed Persons
A/R	• Review of Economics and Statistics of England and Wales Building Standards • Review of Alternative Systems and Standards • Impact of Building Regulations
R	• Future of Building Regulations, sustainability • Review and Adjustment of Compliance and Enforcement
N/A – not project	• Ministerial Round Table • Informal Consolidation of Building Regulations'

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Project Prioritisation: attention priority ranking

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P1: Highest priority attention	• Review of Building Regulations and Options for Change • User Friendly Building Regulations Web Content Through the Planning Portal
P2: Medium priority attention	• Ministerial Round Table • Informal Consolidation of Building Regulations • Administrative Burden of the Building Regulations • Stakeholder Engagement and Communications • Introduction of Appointed Persons
P3: Lowest priority attention	• Future of Building Regulations, sustainability • Review and Adjustment of Compliance and Enforcement • Review of Economics and Statistics of England and Wales Building Standards • Review of Alternative Systems and Standards • Impact of Building Regulations • The Impact of Societal Change on Building Regulations • Building Regulations and the Planning system –Better Regulation Approach • Impact of Building Regulations • Building Regulation, review of health and safety risk drivers for policy

Sustainable Buildings Division

In our recent discussions we have identified the perception or feeling that **Senior Management** need:

To know what is happening in the projects and to understand if there is a need to worry

To be able to compare like with like when reviewing 'projects' within scope of the Review

A process that enables understanding of connections and interdependencies amongst major programmes and projects

Information at all levels to prevent initiatives from falling along the wayside

To collectively understand what's going on and where the Building Regulations Review is going in order to maintain the momentum of improvement

The ability to communicate 'upwards' (to Ministers) where the Building Regulations Review is going

The ability to communicate 'upwards' information for decision-making

To know where to go to find up to date programme and project information

To identify the linkages between projects and staff, and projects, staff and Review objectives

In Sum:

To be informed, possible through creation of a Governance Framework that will be provided with targeted information to steer analysis of project outcomes and communicate to Ministers decision-making material without either party being involved in too much detail, or not receiving the right kind of information

Need for an approach to PPM

- Outcomes indicate a limited PPM approach to the BRR

- The BRR is **not** performing to an optimal standard (i.e. Amber-Green rating) largely as a consequence of the lack of formal programme controls

- Limited PPM approach negatively impacts activities of both senior management and G6 & G7 staff (outlined in the following slides)

- A good practice approach to PPM would not only aid targeted delivery of the BRR, but also may resolve needs of senior management and staff resources

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Senior Management Needs

- In our recent discussions we have identified the perception or feeling that **Senior Management** need:
  - To know the status of projects and to understand if there is a need to worry
  - To be able to compare like with like when reviewing BRR projects
  - A process that enables understanding of connections and interdependencies amongst major programmes (e.g. BRR and EPBD, BAU) and projects
  - Information at all levels to prevent initiatives from falling along the wayside
  - To collectively understand what's going on and where the BRR is going in order to maintain the momentum of improvement and delivery
  - The ability to communicate to Ministers on the direction of the BRR and information for decision-making
  - To know where to go to find up to date programme and project information
  - To identify linkages between projects and staff, and projects and BRR objectives

- In Sum, an **opportunity for a PPM approach**:
  - To improve information and programme delivery, through e.g. creation of a governance framework that will use targeted information to steer analysis of project outcomes and communicate to Ministers decision-making material without either party being involved in too much detail, or not receiving the right kind of information

Sustainable Buildings Division

In our recent discussions we have identified the perception or feeling that **Senior Management** need:

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In Sum:

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Staff Needs

- In our recent discussions we have identified the perception or feeling that **G6 and G7** staff need:
  - A process that enables understanding of connections and interdependencies amongst project managers
  - A horizontal, interdisciplinary medium to update staff on project activities across the SBD e.g. activity planning and end reporting highlights on a post-it board
  - To receive more downstream communications, so they know what is expected of them from senior management and Ministers
  - To know where to go to find up to date project information
  - To know where to go if milestones are missed or outputs do not inform as anticipated
  - To identify the linkages between projects and staff
  - Buy-in to the idea of PPM across the division

- In Sum, an **opportunity for a PPM approach**:
  - An integrated and understood PPM framework with an authoritative yet accessible programme manager. Furthermore, formal controls within a PPM framework will resolve some key staff needs and will provide a resource (programme manager) who acts as a medium to communicate between senior management and G6 and G7 staff.

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Suggested Next Steps

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Priority 1: immediate actions

- **Immediate** analysis of recommendations made in the **two 'Green, Priority 1'** project reports :
    - 'Achieving Building Standards, scoping study'
    - 'Review of Building Regulations and Options for Change'

- These two projects are best suited to inform the next steps for follow-up BRR works

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Priority 2: streamlining

- You might consider **streamlining the volume** of projects included in the Review

- The following **P2** projects could be revaluated and, if possible, revised so workstreams and recommendations included in their outputs add greater value the BRR – specifically where:
  - objectives overlap with those of other projects / objectives are too broad:
    - E.g. 'Stakeholder Engagement and Communication' could add more value if it were revised to focus on communication channels

  - project outputs meet recommendations in submitted BRR project reports
    - E.g. The 'Achieving Building Standards, scoping study' recommendation to reduce administrative burdens is met by suggested savings strategies in the completed BRR project, 'Administrative Burdens of the building Regulations'
    - E.g. 'Review of Building Regulations and Options for Change' includes 'Introduction of Appointed Persons' as an option for improvement

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Projects to Pause from Moving Forward

- While the following works represent valuable research streams for the SBD, they do **not necessarily** add **value** to the defined **objectives** of the BRR.

    – You may **consider removing from the roster** of pending BRR projects:
    - 'Impact of Building Regulations'
    - 'Review of Alternative Systems and Standards'
    - 'Future of Building Regulations, sustainability'
    - 'Review of Economics and Statistics of England and Wales Building Standards'
    - 'Review and Adjustment of Compliance and Enforcement'

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Projects to Consider for Inclusion

- You may also **consider adding new projects** for inclusion in the BRR:

1. 'Building Control Performance Standards'
   - Completed project that developed Performance Indictors developed to assist building control bodies to assess their own service quality
   - Date not centrally collected
   - Meets BRR objective to help develop a regulatory framework to be complied and enforced

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Projects to Consider for Inclusion (cont.)

2. 'Competent Persons Scheme' (self-certification)
    -   Develops a consistent recording method which will ascertain who, what and on which terms approvals for self-certification have been made
    -   Meets BRR objective to help development of a regulatory framework that provides an effective basis for compliance and enforcement
    -   Reduces resource burdens placed on building control and supports market incentives for industry to innovate against regulatory change.

3. 'Improving Electronic Communications'
    -   Secondary legislation; proposes to amend regulations to enable the use of electronic transactions for applications/notices associated with regulations. E.g. electronic signatures
    -   Meets BRR objectives to reduce Admin. Burdens
    -   Critical link to BRR project on administrative burdens

•   *Detailed descriptions of projects to consider for inclusion in the BRR are referenced in Annex G*

Sustainable Buildings Division

Communities and Local Government

Annex A

Documentation Review

Sustainable Buildings Division

Documentation Review

'Futures Programme' documentation

(all documentation given by Guy Bampton and Mary Onyejiaku)

– Housing and Planning Programme Executive – Risks Paper, May 2006

– Building Regulations – Review of Existing system and Future Options, June 2006

– Building Regulations – Futures – Draft Programme Brief, July 2006

– Internal email chain Re: Angela Smith Submission (for draft DCLG Simplification to RB(PRA) Committee), September 2006

Report on the Review of the Building Regulations, October 2006

– Sustainable Buildings Key Workstreams, October 2006

– Objectives of the Futures Programme, October 2006

– Building Division Business Plans: Futures, Sustainability/Climate Change, Department of State, May 2006, and as updated June and October 2006

Sustainable Buildings Division

Documentation Review

'Futures Programme' documentation

(all documentation given by Guy Bampton and Mary Onyejiaku)

– Building Regulations – Review of Existing system and Future Options, June 2006

– Building Regulations – Futures – Draft Programme Brief, July 2006

– Internal email chain Re: Angela Smith Submission (for draft DCLG Simplification to RB(PRA) Committee), September 2006

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– Sustainable Buildings Key Workstreams, October 2006

– Objectives of the Futures Programme, October 2006

– Building Division Business Plans: Futures, Sustainability/Climate Change, Department of State, May 2006, and as updated June and October 2006

Sustainable Buildings Division

Documentation Review

'CLG / Sustainable Buildings Division PPM' documentation

(all documentation given by Guy Bampton and Mary Onyejiaku)

- Building Division Programme Management power point, May 2006
- Programme Executive Members Development power point, November 2006
- PID for 'A project to Adopt Programme Management for Reviews of Building Regulations', March 2006
- Possible sustainable Buildings Division Programme Management Structure, December 2005
- DCLG Sustainable Buildings Division organisational chart, no date
- Risk Management Guidance for Programme Executives, May 2006
- A Brief Guide to Project Working, May 2006
- Agenda and discussion points for G6 & G7 Sustainable Buildings Division PPM Workshop, May 2006
- Housing and Planning Programme Executive – Risks Paper, May 2006

Sustainable Buildings Division

Documentation Review

Communities and Local Government

'**CLG / Sustainable Buildings Division PPM'** documentation

(all documentation given by Guy Bampton and Mary Onyejiaku)

– DCLG Sustainable Buildings Division organisational chart, no date
– Possible sustainable Buildings Division Programme Management Structure, December 2005
– PID for 'A project to Adopt Programme Management for Reviews of Building Regulations', March 2006
– Building Division Programme Management power point, May 2006
– Risk Management Guidance for Programme Executives, May 2006
– A Brief Guide to Project Working, May 2006
– Agenda and discussion points for G6 & G7 Sustainable Buildings Division PPM Workshop, May 2006
– Housing and Planning Programme Executive – Risks Paper, May 2006
– Programme Executive Members Development power point, November 2006

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Documentation Review

'Ministerial level' documentation

(all documentation given by Mark Coulshed, Guy Bampton , and Mary Onyejiaku)

- Better Regulation Task Force publication, *Principles of goods regulation,* 2003
- Towards a More Sustainable System of Building Standards in England and Wales (to: Yvette Cooper from Anne Hemming), October 2005
- Towards a More Sustainable system of building Standards in England and Wales (ODPM report), October 2005
- The Government's Response to Kate Barker's Review of Housing Supply, December 2005
- BRAC discussion document on the future of the Building Regulations and the associated supporting guidances, April 2006
- Note of the Roundtable discussion held by DCLG to discuss the building Regulations with industry representative on June 27 2006, July 2006
- Review of Building Regulations (to: Yvette Cooper and Angela Smith from Mark Coulshed), October 2006

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Documentation Review

''In-scope' project' documentation

(all documentation given by project managers)

Introduction of Appointed Persons

– Technical Support: The Introduction of Appointed Persons, Specification of Requirements
– Proposal: Technical support for the introduction of Appointed Persons, November 2005
– Proposal: Appointed Persons variation 1, July 2006
– Appointed Persons, Summary Report, September 2006

Review of Economics and statistics of England and Wales Building Standards

– Email chain Re: Economics of Building Regulations and future Challenge, July- October 2006
– Potential role of Economic Analysis in buildings Division, July 2006

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Documentation Review

Communities and Local Government

''In-scope' project' documentation (cont)
(all documentation given by project managers)

Review of Alternative Systems and Standards
– Email chain Re: 06/07 Programme - Position on New Projects, November 2006

Delivering User Friendly Building Regulations Web Content Through the Planning Portal
– User Centred Building Control Content & Services, Project Brief , November 2005
– User Friendly Building Regulations scoping study, Final Report, April 2004
– Planning Portal: Building Control Content Migration Project Business Case, January 2006
– User Friendly Building Regulations Content on the Planning Portal, Ministerial Level document to Neil McDonald from Florence Otim, May 2006
– Delivering User Friendly Building Regulations Web Content Through The Planning Portal, Ministerial Level document to Angela Smith from Florence Otim, June 2006

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Documentation Review

''In-scope' project' documentation (cont.)

(all documentation given by project managers)

Review and Adjustment of Compliance and Enforcement

– Building Regulations Futures – Systems Review, Project Initiation Document, July 2006

The Impact of Societal Change on Building Regulations

– DCLG Interim Report :BD 2512, The Impact of Societal Change on Building Regulations, 227816
– **DCLG Interim Report:** BD 2512, The Impact of Societal Change on Building Regulations, 227820 draft
– Building Regulations Research Programme Specification of Requirements for Competitively tendered Research CI 71/9/9
– The Impact of Societal Change on Building Regulations (revised 13/1/2006) BD 2512 Proposal number 116474
– A Review of Criminal Access to Buildings, 18 August 2006, Client report number 227819
– Homes for the future: Survey, Draft Questionnaire
– The Impact of Societal Change on Building Regulations, BRE Client report number 227818

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Documentation Review

''In-scope' project' documentation (cont.)

(all documentation given by project managers)

Informal Consolidation of Building Regulations

– Email chain Re: Building Regulations Consolidation and Part L amendments, May - June 2006
– Better Policy Making: PID, Consolidation of Building Regulations

Ministerial Round Table

– Building Regulations Round Table Meeting Ministerial Level document to Angela Smith from Guy Bampton, May 2006
– Draft Invitation Letter, May 2006
– Building Regulations Round Table Meeting – 27 June 2006, Draft Briefing Revised, June 2006

Impact of Building Regulations

– Specification of Requirements for Competitively Tendered Research CI/9/17October 2005
– Record of EOI's and ITT's for the Cross-Cutting Projects, January 2006

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Documentation Review

''In-scope' project' documentation (cont.)

(all documentation given by project managers)

Administrative Burden of the Building Regulations

– Reducing the Administrative Burden of the building Regulations 'Quick Wins' Report, November 2006
– CLG Simplification Plan – the route to better regulations (v2.3), 2006
– Cabinet Office Administrative Burdens Exercise, 2006
– Administrative Burdens, BAU and net costs spreadsheet; cutback version sent to ACAI and LABC, October, 2006

Review of Building Regulations and Options for Change

– Building Regulations Updating Review, October 2006
– Review of the Building Regulations and Options for Change, Interim Draft, November 2006
– Future Updates of Building Regulations, Outline PID, June 2006

Sustainable Buildings Division

Documentation Review

''In-scope' project' documentation (cont.)

(all documentation given by project managers)

The Building Regulations system and the Planning system - A Better Regulation approach
- The Building Regulations system, the Planning system and the Code for Sustainable Homes, A Discussion Paper, no date
- Specification of Requirements, no date
- Email Chain Re: Interface between planning control and building regulations, June 2006
- A better Regulation Approach - draft report , August 2006

Stakeholder Engagement and Communication Project
- Stakeholder engagement and Communication Project PID, Final Draft, April 2006

Achieving Building Standards, scoping study
- Achieving Building Standards, scoping study, PID, October 2006

Sustainable Buildings Division

Documentation Review

''In-scope' project' documentation (cont.)

(all documentation given by project managers)

Building Regulations, review of health and safety risk drivers for policy

– Building Regulations, Health and Safety (report which this project revises), 2001
– Building Occupant Interaction - Request for a Framework Research Proposal Specification of Requirements, no date, 2005
– ODPM Framework Research Proposal – Review of Health and Safety Risk Drivers for Policy, BRE Proposal number 126999, November 2005
– Health and safety risk drivers for policy: risk calculations and policy implications, April 2006

Future of Building Regulations, sustainability

- No documentation available

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Annex B

Building Regulations Review Definition and Objectives

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Annex C

Methodology to Assign Projects to the Building Regulations Review

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Annex D

Original Project Status List

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Annex E

Project Status Summaries

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Annex F

High-level Project Briefs

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Annex G

Projects to Consider for Inclusion

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Annex H

General Principles of PPM

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