

Competent person scheme applications recommended for rejection

Notes:

* Applicants have already been informed of our reasoning (step 3 in the assessment process)

** Refers to Rows in Schedule 3 of the Building Regulations

Applicant	Type of work	Reason for rejecting
Insulation Competent Persons Scheme (ICPS) (provisional name for new entity to be set up by BBA & SWIGA)	External wall insulation	BBA technically competent but issues with the business model: (1) Shell company not viable on its own. (2) Will cost a lot for an installer to get in/stay in the scheme (as they also have to be BBA/SWIGA members). (3) Could be authorising a restraint of trade – requirement for installer to use specific manufacturers' whole systems, training etc, and for customers to take CIGA insurance. (4) Also question whether the scheme operator will have direct control over the members.
	Internal wall insulation	
	Hybrid wall insulation	
	Loft insulation*	Our interpretation of Schedule 4(1)(m) of the Building Regulations 2010 is that such work is not notifiable on its own.
	Roof insulation (flat and pitched)*	Our interpretation of Schedule 4(1)(m) of the Building Regulations 2010 is that such work is not notifiable on its own.
	Floor insulation*	<p>We wrote to the applicant at step 3 to say we had concluded, subject to Ministerial agreement that:</p> <p>i. the installation of insulation below suspended wooden floors should be made not notifiable through an addition to Schedule 4 of the Building Regulations subject to the same qualifications as for loft insulation in that Schedule. We therefore shall not be proceeding with the assessment of your application for this type of work.</p> <p>ii. The insulation of solid floors has the potential to create a variety of risks to the health and safety of building occupants, including structural, damp, and radon safety risks. Given this, we are not able to treat this application simply as relating to the Green Deal and need further to assess whether competent person schemes would be appropriate. If we decide that this type of work is appropriate for competent person schemes we will consider your</p>

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		application for this type of work further but it will be on a slower track than for the other types of work for which you have applied.
	Room-in-roof insulation*	Whilst this could in some cases constitute notifiable work, we do not foresee a need for a competent person scheme specifically for this type of work at this time.
Quidos Limited (New applicant)	Row 2**. Installation of heating or hot water system connected to a heat-producing gas appliance, or associated controls.	We wrote to the applicant at step 5 to say the information they had provided was well short of what we required to be assured that their organisation had the relevant technical competence to operate over the very wide range of work for which they had applied. In response they withdrew their application for these types of work.
	Row 4. Installation of a solid fuel burning combustion appliance.	
	Row 5. Installation of a heating or hot water system connected to an oil-fired combustion appliance or its associated controls.	
	Row 6. Installation of a heating or hot water system connected to a solid fuel burning combustion appliance or its associated controls.	
	Row 7. Installation of a heating or hot water system connected to an electric heat source or its associated controls.	
	Row 8. Installation of a mechanical ventilation or air conditioning system or associated controls, which does not involve work on a system shared with parts of the building occupied separately, in a building other than a dwelling.	Quidos undertake air con inspections for EPBD purposes (i.e. inspecting existing installations for energy efficiency). They also operate the Defra Fgas inspection scheme (i.e. inspecting existing installations for gas leakage) and have named a number of energy assessors in their application. However, they have not demonstrated competence relating to the <u>installation</u> of air con or ventilation systems.
	Row 9. Installation of an air conditioning or ventilation system in a dwelling, which does not involve work on systems shared with other dwellings.	
	Row 10. Installation of a lighting system or electric heating system, or associated electrical controls.	Application withdrawn for these types of work, as Rows 2–7 above.
	Row 11. Installation of fixed low or extra-low voltage electrical installations.	
	Row 12. Installation of fixed low or extra-low voltage electrical installations as a necessary adjunct to or arising out of other work being carried out by the registered person.	
	Row 13. Installation, as a replacement, of a window, rooflight, roof window or door in an existing dwelling.	
	Row 14. Installation of a sanitary convenience, sink, washbasin, bidet,	

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	<p>fixed bath, shower or bathroom in a dwelling, which does not involve work on shared or underground drainage.</p> <p>Row 15. Installation of a wholesome cold water supply or a softened wholesome cold water supply.</p> <p>Row 16. Installation of a supply of non-wholesome water to a sanitary convenience fitted with a flushing device which does not involve work on shared or underground drainage.</p> <p>Row 17. Installation in a building of a system to produce electricity, heat or cooling: (a) by microgeneration, or (b) from renewable sources (as defined in European Parliament and Council Directive 2009/28/EC of 23 April 2009 on the promotion of the use of energy from renewable sources).</p> <p>Row 18. Insertion of insulating material into the cavity walls of an existing building.</p>	<p>Quidos run an energy efficiency certification scheme and have named a number of energy assessors in their application. However, they have not demonstrated competence in relation to installation.</p> <p>Besides saying they work closely with insulation manufacturers (one named) and their installer base, they have not demonstrated competence in relation to installation.</p>
Reiga Resolutions Limited (New applicant)	<p>Row 17. Installation in a building of a system to produce electricity, heat or cooling: (a) by microgeneration, or (b) from renewable sources (as defined in European Parliament and Council Directive 2009/28/EC of 23 April 2009 on the promotion of the use of energy from renewable sources).</p> <p>Row 18. Insertion of insulating material into the cavity walls of an existing building.</p>	<p>REIGA is an installation guarantee agency. Four reasons to reject their application:</p> <p>(1) When asked for evidence that they had an agreement with BBA to do the technical assessments as claimed in their original bid, they said they would now have an agreement with BSI instead. We have tried to check this with BSI but received no response.</p> <p>(2) They have provided a detailed quality and operational manual but would seem to be one they had anyway for certification of <u>products</u>. It does not refer to the certification of installers.</p> <p>(3) Unclear how the scheme would run with very few members and low/nil fees.</p> <p>(4) Unclear who the members actually are - "technology specific non profit organisations which will each have their own operational board made up of representatives from the relevant manufacturers and member installation companies" – so REIGA won't have a direct relationship with/control of actual installers.</p>

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Ascertiva Group Limited	Row 13. Installation, as a replacement, of a window, roof light, roof window or door in an existing dwelling	We wrote to the applicant at step 5 asking for evidence of their competence for this type of work. In response they withdrew the application.
	District Heating*	This type of work is no longer on the list of Green Deal measures and is not currently authorised under Schedule 3 – so outside the scope of our invitation.
	Hot water taps (efficient)*	Ascertiva are already authorised under Rows 2, 5, 6, 7 and 14 of Schedule 3 all of which could include this type of work.
	Variable speed drives for fans and pumps*	Ascertiva are already authorised for electrical installations under Rows 11 and 12 both of which could to cover this type of work.
	Chillers*	Ascertiva are already authorised under Rows 8 and 9 of Schedule 3 both of which include this type of work.
	Loft Insulation*	Our interpretation of Schedule 4(1)(m) of the Building Regulations 2010 is that such work is not notifiable on its own
	Pitched Roof Insulation*	
	Flat Roof Insulation*	
	Draught Proofing*	This type of work does not constitute building work under the Building Regulations 2010 (Regulation 3)
	Floor Insulation*	<p>We wrote to the applicant at step 3 to say we had concluded, subject to Ministerial agreement that:</p> <p>i. the installation of insulation below suspended wooden floors should be made not notifiable through an addition to Schedule 4 of the Building Regulations subject to the same qualifications as for loft insulation in that Schedule. We therefore shall not be proceeding with the assessment of your application for this type of work.</p> <p>ii. The insulation of solid floors has the potential to create a variety of risks to the health and safety of building occupants, including structural, damp, and radon safety risks. Given this, we are not able to treat this application simply as relating to the Green Deal and need further to assess whether competent person schemes would be appropriate. If we decide that this type of work is appropriate for competent person schemes we will consider your application for this type of work further but it will be on a slower track than for the other types of work for which you have applied.</p>

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	Heating System Insulation (pipes and cylinders)*	This type of work does not constitute building work under the Building Regulations 2010 (Regulation 3)
Benchmark Certification Ltd	Row 8. Installation of a mechanical ventilation or air conditioning system or associated controls, which does not involve work on a system shared with parts of the building occupied separately, in a building other than a dwelling.	Insufficient information provided on technical competence.
	Row 9. Installation of an air conditioning or ventilation system in a dwelling, which does not involve work on systems shared with other dwellings.	
	Loft insulation*	Our interpretation of Schedule 4(1)(m) of the Building Regulations 2010 is that such work is not notifiable on its own.
	Roof insulation (pitched & flat)*	
	Floor insulation*	<p>We wrote to the applicant at step 3 to say we had concluded, subject to Ministerial agreement that:</p> <p>i. the installation of insulation below suspended wooden floors should be made not notifiable through an addition to Schedule 4 of the Building Regulations subject to the same qualifications as for loft insulation in that Schedule. We therefore shall not be proceeding with the assessment of your application for this type of work.</p> <p>ii. The insulation of solid floors has the potential to create a variety of risks to the health and safety of building occupants, including structural, damp, and radon safety risks. Given this, we are not able to treat this application simply as relating to the Green Deal and need further to assess whether competent person schemes would be appropriate. If we decide that this type of work is appropriate for competent person schemes we will consider your application for this type of work further but it will be on a slower track than for the other types of work for which you have applied.</p>
	Duct insulation*	
	Under-floor heating*	Benchmark are already authorised for Rows 2, 5, 6, 7, 11 and 12 of Schedule 3

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		all of which could include this type of work.
BM Trada Certification Limited	Row 17. Installation in a building of a system to produce electricity, heat or cooling : (a) by microgeneration, or (b) from renewable sources (as defined in European Parliament and Council Directive 2009/28/EC of 23 April 2009 on the promotion of the use of energy from renewable sources).	Insufficient evidence provided that they have staff with the relevant technical ability. Row 17 of Schedule 3 relates to the whole installation of solar installations whereas this application specifically excludes making the connection back to the mains. To that extent it would be type of work not currently authorised which we do not wish to take forward for policy reasons. We think it would be very confusing to the customer to have a CPS installer who could only self-certify part of the installation, particularly if the installer of the other part of the installation was not CPS registered.
	Installation or replacement of passive fire protection measures within buildings (eg fire doors, pipe penetration seals, cavity barriers, linear gap seals, glazed screens etc).*	This type of work is not on the list of Green Deal measures nor is it currently authorised under Schedule 3 – so outside the scope of our invitation. We wrote at step 3 to say the Department would consider this aspect of their application in slower time along with responses to the January 2012 consultation on the changes to the building control system.
Certass Limited	Loft insulation*	Our interpretation of Schedule 4(1)(m) of the Building Regulations 2010 is that such work is not notifiable on its own.
	Floor Insulation*	We wrote to the applicant at step 3 to say we had concluded, subject to Ministerial agreement that: i. the installation of insulation below suspended wooden floors should be made not notifiable through an addition to Schedule 4 of the Building Regulations subject to the same qualifications as for loft insulation in that Schedule. We therefore shall not be proceeding with the assessment of your application for this type of work. ii. The insulation of solid floors has the potential to create a variety of risks to the health and safety of building occupants, including structural, damp, and radon safety risks. Given this, we are not able to treat this application simply as relating to the Green Deal and need further to assess whether competent person

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		schemes would be appropriate. If we decide that this type of work is appropriate for competent person schemes we will consider your application for this type of work further but it will be on a slower track than for the other types of work for which you have applied.
ECA Certification Ltd.	Row 18. Cavity wall insulation in an existing building	No evidence of competence
	Row 13. Replacement windows, doors, roof windows or rooflights in existing dwellings.	No evidence of competence
	Row 21. Replacement windows, doors, roof windows or rooflights in existing buildings other than dwellings (excluding replacement of load bearing structural glass, glazed curtain walling or revolving doors.	
	Row 1. Gas fired condensing boilers*	Their installation is controlled under the Gas Safety (Installation and Use) Regulations 1998 (S.I. 1998/2451) and as such, is outside the scope of competent person self-certification schemes.
	Row 3. Oil fired condensing boilers*	ECA are already authorised for this type of work
	Heating controls* Under-floor heating*	ECA are already authorised for Rows 2, 5, 6, 7, 11 and 12 of Schedule 3 all of which could include these types of work
	Gas fired warm air heating systems*	ECA are already authorised for Row 2 of Schedule 3 which could include this type of work (we have assumed that they are not applying for installation of the gas appliance heating the air).
	Electric storage heaters*	ECA are already authorised for Rows 11 and 12 of Schedule 3 both of which could include this type of work
	Flue gas recovery devices*	ECA are already authorised for Rows 5, 6 and 7 of Schedule 3 all of which could include this type of work
	Heat recovery systems*	Taken forward under their application for Rows 8, 9, 14 and 15, not as separate items.
	Mechanical ventilation (non-domestic)*	
	Water efficient taps and showers*	
	Lighting fittings (non-domestic)*	ECA are already authorised for Row 10 of Schedule 3 which could include these types of work
	Lighting controls (non-domestic)*	
	Innovative hot water systems*	ECA are already authorised for Rows 2, 5, 6 and 7 of Schedule 3 all of which could include this type of work
	Ground and air source heat pumps, solar thermal, solar PV systems, biomass boilers, micro CHP equipment, micro and small scale wind turbine	ECA are already authorised for Row 17 of Schedule 3 which covers these types of work

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	systems*	
	Cavity wall insulation	(As Row 18 above - no evidence of competence)
	Loft insulation*	Our interpretation of Schedule 4(1)(m) of the Building Regulations 2010 is that such work is not notifiable on its own
	Roof insulation (pitched and flat)*	
	Internal wall insulation	No evidence of competence
	External wall insulation	
	Hybrid wall insulation	
	Floor insulation*	<p>We wrote to the applicant at step 3 to say we had concluded, subject to Ministerial agreement that:</p> <p>i. the installation of insulation below suspended wooden floors should be made not notifiable through an addition to Schedule 4 of the Building Regulations subject to the same qualifications as for loft insulation in that Schedule. We therefore shall not be proceeding with the assessment of your application for this type of work.</p> <p>ii. The insulation of solid floors has the potential to create a variety of risks to the health and safety of building occupants, including structural, damp, and radon safety risks. Given this, we are not able to treat this application simply as relating to the Green Deal and need further to assess whether competent person schemes would be appropriate. If we decide that this type of work is appropriate for competent person schemes we will consider your application for this type of work further but it will be on a slower track than for the other types of work for which you have applied.</p>
	Draught proofing*	These types of work do not constitute building work under the Building Regulations 2010 (Regulation 3)
	Heating system insulation (cylinder, pipes)*	
	Energy efficient glazing and doors*	This has been considered under their applications for Rows 13 & 21, not as a separate item
National Federation of Roofing Contractors	The installation of roof insulation i.e. that fitted above the ceiling line, to all forms of existing roofing, both pitched and flat.*	Our interpretation of Schedule 4(1)(m) of the Building Regulations 2010 is that such work is not notifiable on its own
	The installation of wall insulation to demountable clad (e.g. metal, rain screen, etc) non domestic buildings in line with the requirements of the Green	More work needed to develop the infrastructure (esp. a Minimum Technical Competence procedure for assign installers) before we could authorise this

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	Deal and of the Building Regulations.	new type of work. In particular, more information needed on how they would address structure and fire issues.
	The fitting of roof-integrated and on-roof solar mounting assemblies and collectors of solar equipment to both pitched and flat roof structures and the waterproofing of these mountings.*	Row 17 of Schedule 3 relates to the whole installation of solar installations whereas this application specifically excludes fitting/commissioning of electrical/plumbing works. To that extent it would be type of work not currently authorised which we do not wish to take forward for policy reasons. We think it would be very confusing to the customer to have a CPS installer who could only self-certify part of the installation, particularly if the installer of the other part of the installation was not CPS registered.
NAPIT Registration Limited	Loft insulation*	Our interpretation of Schedule 4(1)(m) of the Building Regulations 2010 is that these types of work are not notifiable on their own
	Roof insulation (flat & pitched)*	
	Floor insulation*	<p>We wrote to the applicant at step 3 to say we had concluded, subject to Ministerial agreement that:</p> <p>i. the installation of insulation below suspended wooden floors should be made not notifiable through an addition to Schedule 4 of the Building Regulations subject to the same qualifications as for loft insulation in that Schedule. We therefore shall not be proceeding with the assessment of your application for this type of work.</p> <p>ii. The insulation of solid floors has the potential to create a variety of risks to the health and safety of building occupants, including structural, damp, and radon safety risks. Given this, we are not able to treat this application simply as relating to the Green Deal and need further to assess whether competent person schemes would be appropriate. If we decide that this type of work is appropriate for competent person schemes we will consider your application for this type of work further but it will be on a slower track than for the other types of work for which you have applied.</p>
Stroma Certification Limited	Row 4. Installation of a solid fuel burning combustion appliance.	Insufficient evidence of competence.
	Row 19. Installation, as a replacement,	Insufficient evidence of competence.

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	of the covering of a pitched or flat roof and work carried out by the registered person as a necessary adjunct to that installation.	
	Room in roof insulation*	Whilst this could in some cases constitute notifiable work, we do not foresee a need for a competent person scheme specifically for this type of work at this time
	Under-floor insulation*	<p>We wrote to the applicant at step 3 to say we had concluded, subject to Ministerial agreement that:</p> <p>i. the installation of insulation below suspended wooden floors should be made not notifiable through an addition to Schedule 4 of the Building Regulations subject to the same qualifications as for loft insulation in that Schedule. We therefore shall not be proceeding with the assessment of your application for this type of work.</p> <p>ii. The insulation of solid floors has the potential to create a variety of risks to the health and safety of building occupants, including structural, damp, and radon safety risks. Given this, we are not able to treat this application simply as relating to the Green Deal and need further to assess whether competent person schemes would be appropriate. If we decide that this type of work is appropriate for competent person schemes we will consider your application for this type of work further but it will be on a slower track than for the other types of work for which you have applied.</p>